Investment or Deposit Type

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds) Equity/ Stock Mutual Funds Balanced Mutual Funds (where target allocation is > 50% equities) "Commonfund" Equity Commingled Funds Other Equity Commingled Funds (if primarily invested in publicly traded equities) Preferred Stock Other - list by type

Total Publicly Traded Equity and Similar Investments

0.00

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio) Other Real Asset Investments (e.g. investments in infrastructure funds) Private Equity Hedge Funds "Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds,

Cisco College Required Disclosure of Certain Investment Information For the Year Ended August 31, 2020

1. (a) Does the institution employ outside investment advisors or managers and , if so, who are they (provide individual or firm name and address)?

Contact Information:

Ameriprise Financial 1612 Summit Avenue, Suite 410 Fort Worth, TX 76102 Hilltop Securities 8201 Preston Road, Suite 600 Dallas, TX 75225

No, the investment advisors must obtain approval from Cisco College's investment officers.

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.

No, Cisco College does not use any such arrangements.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.

No, Cisco College is not associated with an independent endowment or foundation.