

Investment or Deposit Type

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)

Equity/Stock Mutual Funds

Balanced Mutual Funds (where target allocation is > 50% equities)

"Commonfund" Equity Commingled Funds



Cisco College
Required Disclosure of Certain Investment Information
For the Year Ended August 31, 2021

1. (a) Does the institution employ outside investment advisors or managers and , if so, who are they (provide individual or firm name and address)?

Yes, Cisco College uses the services of Ameriprise Financial and Hilltop Securities.

Contact Information:

Ameriprise Financial
1612 Summit Avenue, Suite 410
Fort Worth, TX 76102

Hilltop Securities
8201 Preston Road, Suite 600
Dallas, TX 75225

(b) Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval?

No, the investment advisors must obtain approval from Cisco College's investment officers.

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.

No, Cisco College does not use any such arrangements.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.

No, Cisco College is not associated with an independent endowment or foundation.